

BOSTON FINANCIAL'S Compliance Solutions *Unclaimed Property Administration*



Boston Financial's unclaimed property administration (UPA) solution goes beyond compliance with federal and state rules. Through a proactive investor communication outreach program, advanced shareholder searches, and access to specialized resources, this suite of services enables you to **preserve shareholder assets and mitigate risk**.

We are able to support escheatment of abandoned property for you by:

- Performing due diligence on potential escheatable accounts
- Pre-escheatment reporting
- Reconciling, reporting, and remitting unclaimed property to the states and territories
- Assisting with state initiated audits
- Responding to state inquiries and correspondence

UPA services can be selected based on your business need, including:

- SEC 17Ad-17 mandated searches
- Beneficiary designation outreach
- Ongoing outreach on inactive accounts to prevent assets from being escheated
- Additional discretionary searches to locate investors or determine life status*
- Due diligence mailings in accordance with state requirement
- Escheatment of assets
- Consulting and audit defense services*

*Through our alliance with Keane

Inactive Account Outreach Results

63 clients engaged to perform inactivity outreach

950,000 accounts with
\$19.6 billion at risk

Varied investor demographics

Multiple channels supported for communication: web, mail, automated voice response, live agent

Success!
710,000 accounts and
\$6.1 billion safeguarded



BOSTON FINANCIAL
DATA SERVICES®

To learn more about Boston Financial's Unclaimed Property Administration Solutions, contact:
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