

Boston Financial's Event Center Corporate Actions Solutions



CAPABILITY, CAPACITY, CONTROL

Boston Financial is a leading service provider to banks, credit unions, corporate issuers, mutual funds, insurance companies, and other organizations in need of corporate actions or financial services database management projects. Founded in 1973 as a joint venture between State Street Corporation and DST Systems, Boston Financial provides clients with expansive resources and expertise. Annually, we handle over 2 million calls, 3.5 million pieces of mail, 21 million images, and 11 million transactions, as well as process the flow of billions of dollars.

Through our acquisition of Colbent Corporation assets, Boston Financial provides comprehensive, end-to-end servicing for the full range of corporate actions. We've delivered on thousands of assignments and have built our reputation on timely, accurate processing; superior, individualized service; and competitive pricing. Our highly controlled, time-tested software and operations environment helps mitigate risk and enables us to consistently exceed customer expectations.

COMPLETE SUITE OF SERVICES

Our corporate actions engagements have included some of the largest, most complex events from publicly held corporate mergers with millions of shareholders, to multi-CUSIP/ multi-currency bond tenders, and privately held paying agency agreements with as few as a handful of shareholders.

We offer a flexible service model that can be customized to accommodate any corporate action need, from the early planning stages through final communication with stakeholders. Our scalability provides consistency, reliability, and cost savings to our clients.

Our capabilities and services include:

- Equity or Debt Mandatory Stock and Cash Mergers
- Bank and Credit Union Conversion
- Rights Offerings; Subscriptions
- Voluntary Tenders, Dutch Auctions
- Claims Processing and Settlement Distributions
- Pension Termination and Modifications
- Customer Care Center
- Web-based Solutions
- Print-Mail Services
- Abandoned Property Compliance
- Tax Reporting

HIGH STANDARDS AND STRONG EXECUTION

Boston Financial matches industry best-practices with high standards of confidentiality, cost-efficiency, and execution. Since we also function as a mutual fund transfer agent, we're a regulated entity. Our daily operating environment is guided by stringent controls and safeguards.

Corporate actions and securities processing is a core competency at Boston Financial. We know that each initiative has its unique quirks, nuances, and potential pitfalls. Boston Financial has the resources and capabilities needed to deliver accurate, timely, and compliant execution.



BOSTON FINANCIAL
DATA SERVICES®

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www.bostonfinancial.com/eventcenter